

**STATE OF WASHINGTON
DEPARTMENT OF FINANCIAL INSTITUTIONS
SECURITIES DIVISION**

IN THE MATTER OF DETERMINING
Whether there has been a violation
of the Securities Act of Washington by:

BROCK CONTRACTING and DAVID
CREAGH BROCK, JR.

SDO-105-02
S-01-121-02-CO01

CONSENT ORDER AND ORDER VACATING
SDO-093-02 AS TO BROCK CONTRACTING
AND DAVID CREAGH BROCK, JR.

Case No. 01-11-438/S-01-121

INTRODUCTION

The Securities Division of the Department of Financial Institutions, pursuant to the Securities Act of Washington, and Brock Contracting and David Creagh Brock, Jr. do hereby enter into this CONSENT ORDER in settlement of the above captioned matter and Brock Contracting and David Creagh Brock, Jr. each hereby voluntarily consent to the entry of this consent order. The Securities Division has, in SDO-093-02, made certain findings and conclusions, set forth under the headings "Tentative Findings of Fact" and "Conclusions of Law" in SDO-093-02, which are hereby incorporated by reference and made final in this consent order. Brock Contracting and David Creagh Brock, Jr. neither admit nor deny any violations of the Securities Act of Washington. Brock Contracting and David Creagh Brock, Jr. acknowledge the Securities Division's jurisdiction over this matter and its authority to enter this order.

CONSENT ORDER

Based upon the foregoing:

CONSENT ORDER

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DEPARTMENT OF FINANCIAL INSTITUTIONS
Securities Division
PO Box 9033
Olympia, WA 98507-9033
360-902-8760

1 IT IS AGREED AND ORDERED that Brock Contracting and David Creagh Brock, Jr. shall each
2 cease and desist from offers or sales of unregistered securities in violation of RCW 21.20.140.

3 IT IS ALSO AGREED AND ORDERED that Brock Contracting and David Creagh Brock, Jr. shall
4 each cease and desist from acting as an unregistered securities broker/dealer or salesperson in violation of
5 RCW 21.20.040.

6 IT IS ALSO AGREED AND ORDERED that shall Brock Contracting and David Creagh Brock, Jr.
7 shall each cease and desist from violation of RCW 21.20.010, the anti-fraud section of the Securities Act
8 of Washington.

9 IT IS ALSO AGREED AND ORDERED that David Creagh Brock, Jr. shall pay a total of Two
10 Thousand Five Hundred Dollars (\$2,500) for reimbursement of the Securities Division's investigative
11 costs.

12 In consideration of the foregoing, Brock Contracting and David Creagh Brock, Jr. each waive their
13 right to a judicial or administrative hearing on this matter.

14
15 WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.

16 SIGNED this _____ day of _____, 2002

17
18 _____
19 BROCK CONTRACTING by David Creagh Brock, Jr.

20
21 _____
22 DAVID CREAGH BROCK, JR.

ENTERED this 21st day of December 2002



Deborah R. Bortner
Securities Administrator

Approved by:

Presented by:

Michael E. Stevenson
Chief of Enforcement

Janet So
Financial Legal Examiner

CONSENT ORDER

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